

06/17/99  
JC665 U.S. PTO

Express Mail No. EM036754440US  
Docket No. 20164/109730

A  
JC588 U.S. PTO  
09/335022  
06/17/99

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 2023116761

Sir:

Transmitted herewith for filing is the utility patent application of Inventors:

**MONIKA JOHANNSEN**

For: **PROCESS FOR PRODUCING VITAMIN D<sub>3</sub> AND PREVITAMIN D<sub>3</sub>**

1. ☒ The application has 12 pages (including claim pages, attached declaration and power of attorney, and abstract).
2. ☒ 2 sheets of drawing are enclosed. The drawings are:
  - a. ☐ formal; or
  - b. ☒ informal; formal drawings will be submitted in due course.
3. ☒ The declaration and power of attorney
  - a. ☒ has been executed by all the inventors; or
  - b. ☐ has not been executed by all the inventors. A signed declaration and power of attorney will be submitted in due course.
4. ☐ An associate power of attorney is enclosed.
5. ☐ An assignment of the invention to \_\_\_\_\_ and a Recordation Form Cover are enclosed. Please record the Assignment and return it to the undersigned. A duplicate copy of this paper is enclosed.
  - a. ☐ A check for \$\_\_\_\_\_ to cover the recording fee is enclosed. See paragraph 10.c., below.
  - b. ☐ Please charge the recording fee to our Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
6. ☒ Priority is hereby claimed under 35 USC §119 based on Appln. No. 98111490.3, filed June 23, 1998, in Europe.
  - a. ☐ A certified copy of each of the priority documents is enclosed.
  - b. ☒ The certified priority document(s) will follow.

7. ☐ A verified statement to establish small entity status under 37 CFR Section 1.9 and 37 CFR Section 1.27 is enclosed.
8. ☒ The filing fee is calculated below.

| For  | Col. 1 No.<br>Filed |   | Col. 2 No.<br>Extra | Small Entity<br>Rate Fee | or | Other Than A<br>Small Entity<br>Rate Fee |
|--|---------------------|---|---------------------|--------------------------|----|--|
| Basic Fee:   |                     |   |                     | \$ 380                   | or | \$ 760                                   |
| Total Claims:  | 6 - 20=             | x | 0                   | x9=                      | or | x18=                                     |
| Indep. Claims  | 1 - 3=              | x | 0                   | x39=                     | or | x78=                                     |
| <input type="checkbox"/> Multiple<br>Dependent<br>Claims Presented |                     |   |                     | + 130 =                  | or | + 260 =                                  |
| Total: \$760   |                     |   |                     |                          |    |  |

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- a. ☒ A check for \$760 to cover the filing fee is enclosed. See paragraph 10a and 10d, below.
- b. ☐ Please charge Deposit Account No. 02-4467 in the amount of \$\_\_\_\_. A duplicate copy of this paper is enclosed.
9. ☐ A Preliminary Amendment is enclosed.
- a. ☐ No additional fee is due.
- b. ☐ A check in the amount of \$\_\_\_\_ to cover the cost of additional claims is enclosed. See paragraph 10.a., below.
- c. ☐ Please charge our Deposit Account 02-4467 in the amount of \$\_\_\_\_. A duplicate copy of this paper is enclosed.
10. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or with recording any Assignment concerning it, or to credit any overpayment, to Deposit Account No. 02-4467, unless otherwise paid by check.
- a. ☒ If our check is missing or otherwise insufficient, or if any additional fees are required, the Commissioner is authorized to charge (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.

- b. ☒ Any additional filing or other fees required under 37 CFR Section 1.16, including any fees for presentation of extra claims.
- c. ☒ Any patent application processing fees under 37 CFR Section 1.17.
- d. ☒ Any additional Assignment recording fees under 37 CFR Section 1.21(h).

Note: See 37 CFR ' 1.311(b) regarding authorization to pay the issue fee from deposit account.

- 11. ☐ An Information Disclosure Statement is enclosed.

Respectfully submitted,

By: 

Timothy E. Tracy  
Registration No. 39,401  
BRYAN CAVE LLP  
245 Park Avenue  
New York, New York 10167-0034  
(212) 692-1800

664790-2205EE60

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : )  
MONIKA JOHANNSEN )  
Serial No.: Not yet assigned ) Examiner: Not yet assigned  
Filed: Concurrently herewith on June 17, 1999 ) Art Unit: Not yet assigned  
For: **PROCESS FOR PRODUCING** )  
**VITAMIN D<sub>3</sub> AND PREVITAMIN D<sub>3</sub>** )

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: EM036754440US

Date of Deposit: June 17, 1999

I hereby certify that the following:

- ☒ This Certificate of Express Mailing
- ☒ Transmittal letter (3 pages, in duplicate)
- ☒ A patent application consisting of 9 pages of abstract, specification, and claims
- ☒ Declaration and Power of Attorney for patent application (3 pp.)
- ☒ 2 sheets of informal drawings
- ☒ Check in the amount of \$760 to cover filing fee
- ☒ 2 Return postcards

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Darryl Terrel

Signature of Person Making Deposit

BRYAN CAVE LLP  
245 Park Avenue  
New York, New York 10167-0034  
212/692-1800